

# Cornell University Assembly

## UA Resolution # 10 Investigating an Open Access Policy for Cornell

1 **Sponsored by: David Bunck, Executive Vice Chair, University Assembly**  
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3 **Whereas**, it is in the mission of Cornell to “preserve and disseminate knowledge,” [i] and the  
4 widest dissemination of knowledge benefits not only individual scholars and their discipline, but  
5 also the people of New York and the world,  
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7 **Whereas**, the Faculty Senate has passed resolutions urging “faculty to deposit preprint or  
8 postprint copies of articles in an open access repository” [ii] and supporting “alternatives to  
9 commercial venues for scholarly communication” [iii],  
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11 **Whereas**, the Cornell University Library is actively engaged in encouraging open access to  
12 scholarly work by means of the Library’s open-source publishing platform [iv] and a pilot fund  
13 [v] designated by the Library and the Office of the Provost to pay the fees to publish in open-  
14 access journals in the absence of other funding sources,  
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16 **Whereas**, the Library has established eCommons [vi] as a institutional repository for the  
17 University’s digital works, maintains specialized repositories for the Schools of Law, ILR, and  
18 Hotel [vii], and owns and operates arXiv.org [viii], home to over 900,000 electronic pre-prints in  
19 physics, mathematics, computer science, quantitative biology, quantitative finance and statistics,  
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21 **Whereas**, many universities [ix], states [x], non-profit [xi] and federal funding agencies [xii],  
22 and corporations [xiii] have adopted open access publishing policies,  
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24 **Whereas**, the Library and the Library Board support increasing access to scholarly work at  
25 Cornell where feasible,  
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27 **Be it therefore resolved**, a committee will be established to investigate the feasibility of and  
28 campus support for implementing an open access policy (included in the resolution’s appendix)  
29 wherein each faculty member grants to Cornell permission to make available her or his scholarly  
30 articles and to exercise the copyright in those articles,  
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32 **Resolved**, that this committee will at a minimum consist of one representative from each of the  
33 following: the University Assembly, the University Faculty Library Board, the University  
34 Library, the Faculty Senate, the Graduate and Professional Student Assembly, the Cornell  
35 Undergraduate Research Board, and the Office of the Vice Provost for Research. Any other  
36 member of the Cornell campus community (student, staff, faculty) may also be part of this  
37 committee,  
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39 **Resolved**, that this committee will consider items such as but not limited to: how an open access  
40 policy would benefit the Cornell community including its students, alumni, faculty/staff, and  
41 different departments, colleges, and disciplines; how an open access publishing policy can be

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42 interfaced with data sharing plans (mandated for National Science Foundation funded work)  
43 [xiv] for greater functionality of both the published work and the corresponding data; how as the  
44 land grant institution of New York, such a policy could allow Cornell to contribute to the  
45 implementation of open access policies proposed [x] for research funded by New York State;  
46 how the Cornell community would benefit from such a policy in light of proposed open access  
47 policies from federal funding agencies; the short and long term savings and costs associated with  
48 implementing an open access policy (e.g., personnel, hardware, journal subscription fees); how  
49 to streamline the deposition of works into an open access repository owned and operated by  
50 Cornell; how to implement such a policy such as by a phased rollout by college or department;  
51 and how to streamline opt-out waivers from such a policy; and how to inform publishers of such  
52 a policy,  
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54 **Resolved**, that this task force will report its findings to the University Assembly and Faculty  
55 Senate no later than the November meeting of these bodies in the Fall 2014 semester.

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57 **Respectfully Submitted,**

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59 David Bunck  
60 Executive Vice Chair, University Assembly

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62 [i] “The Cornell University Mission,” <https://www.cornell.edu/about/mission/>

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64 [ii] “Resolution from the University Faculty Library Board Concerning Scholarly Publishing,”  
65 Cornell Faculty Senate, May 11, 2005,  
66 [http://www.theuniversityfaculty.cornell.edu/meetings/agendas\\_minutes/04\\_05/051105/LibCom](http://www.theuniversityfaculty.cornell.edu/meetings/agendas_minutes/04_05/051105/LibCom)  
67 [mResol511.pdf](http://www.theuniversityfaculty.cornell.edu/meetings/agendas_minutes/04_05/051105/LibCom)

68  
69 [iii] “Resolution regarding the University Library’s Policies on Serials Acquisitions, with Special  
70 Reference to Negotiations with Elsevier,” Cornell Faculty Senate, Dec. 17, 2003,  
71 [http://www.theuniversityfaculty.cornell.edu/meetings/agendas\\_minutes/03\\_04/121703/LibraryR](http://www.theuniversityfaculty.cornell.edu/meetings/agendas_minutes/03_04/121703/LibraryR)  
72 [esolution1217.pdf](http://www.theuniversityfaculty.cornell.edu/meetings/agendas_minutes/03_04/121703/LibraryR)

73  
74 [iv] DPubS (Digital Publishing System), <http://dpubs.org/about.html>

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76 [v] Cornell Open-Access Publication (COAP) Fund,  
77 <http://www.library.cornell.edu/compact/coap.html>

78  
79 [vi] eCommons@Cornell, <http://ecommons.library.cornell.edu/about.html>

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81 [vii] Scholarly Commons @ School of Hotel Administration, <http://scholarship.sha.cornell.edu>  
82 Scholarship@Cornell Law, <http://scholarship.law.cornell.edu>  
83 DigitalCommons@ILR, <http://digitalcommons.ilr.cornell.edu>

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85 [viii] arXiv.org, <http://arXiv.org>

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- 86 [ix] “Open Access Policies at Other Universities,” [http://libraries.mit.edu/scholarly/mit-open-](http://libraries.mit.edu/scholarly/mit-open-access/open-access-at-mit/mit-open-access-policy/mit-faculty-open-access-policy-faq/other-university-policies/)  
87 [access/open-access-at-mit/mit-open-access-policy/mit-faculty-open-access-policy-faq/other-](http://libraries.mit.edu/scholarly/mit-open-access/open-access-at-mit/mit-open-access-policy/mit-faculty-open-access-policy-faq/other-university-policies/)  
88 [university-policies/](http://libraries.mit.edu/scholarly/mit-open-access/open-access-at-mit/mit-open-access-policy/mit-faculty-open-access-policy-faq/other-university-policies/)  
89
- 90 [x] California bill AB 609: <http://sparc.arl.org/advocacy/state/ab609> New York bills A180 and  
91 S405: <http://sparc.arl.org/advocacy/state/tapfr>  
92
- 93 [xi] “Howard Hughes Medical Institute Public Access,”  
94 <http://www.hhmi.org/about/policies/open-access>  
95 “Wellcome Trust Open Access Policy,” [http://www.wellcome.ac.uk/About-us/Policy/Spotlight-](http://www.wellcome.ac.uk/About-us/Policy/Spotlight-issues/Open-access/Policy/index.htm)  
96 [issues/Open-access/Policy/index.htm](http://www.wellcome.ac.uk/About-us/Policy/Spotlight-issues/Open-access/Policy/index.htm)  
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- 98 [xii] “NIH Public Access Policy Details,”<http://publicaccess.nih.gov/policy.htm>  
99 “Research Councils UK Policy on Open Access,” <http://www.rcuk.ac.uk/research/outputs/>  
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- 101 [xiii] “Microsoft Research adopts Open Access policy for publications,”  
102 [http://blogs.msdn.com/b/msr\\_er/archive/2014/01/20/microsoft-research-adopts-open-access-for-](http://blogs.msdn.com/b/msr_er/archive/2014/01/20/microsoft-research-adopts-open-access-for-publications.aspx)  
103 [publications.aspx](http://blogs.msdn.com/b/msr_er/archive/2014/01/20/microsoft-research-adopts-open-access-for-publications.aspx)  
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- 105 [xiv] “NSF Data Sharing Policy,” <https://www.nsf.gov/bfa/dias/policy/dmp.jsp>  
106 “[Cornell] Research Data Management Services Group FAQs,”  
107 <https://confluence.cornell.edu/display/rdmsgweb/nsf-data-management-plan-faq>